

**Government of the District of Columbia**  
Department of Insurance, Securities and Banking



**Gennet Purcell**  
**Commissioner**

**District Of Columbia Adviser Affidavit**

Please be advised that I,  (Principal/Officer's name)  
of the Investment Adviser  (Adviser/Firm's name)

Certify that:

- The applicant has not transacted any business in the District of Columbia, and will not provide investment advice until registered with the Securities Bureau of the District of Columbia.
- If a firm has transacted any advisory business in the District of Columbia, provide the following information:
  - a. name, address and telephone number of each client;
  - b. date of each agreement with clients involved;
  - c. a description of service provided to each client along with the total amount of assets managed or involved and the total amount of fees received.

Signature: \_\_\_\_\_

State of

County of

Subscribed and sworn before me this \_\_\_\_\_ day of \_\_\_\_\_ 200\_.

\_\_\_\_\_  
Notary Public

My Commission Expires \_\_\_\_\_